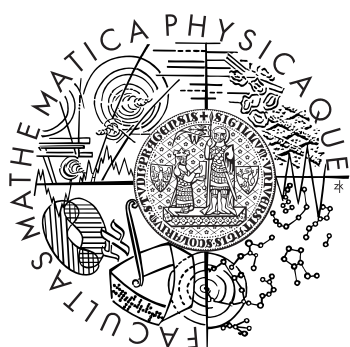


Charles University in Prague
Faculty of Mathematics and Physics

MASTER THESIS



**rijksuniversiteit
groningen**
faculteit der letteren

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Unsupervised and Semi-Supervised Multilingual Learning for Resource-Poor Languages

Institute of Formal and Applied Linguistics

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I declare that I carried out this master thesis independently, and only with the cited sources, literature and other professional sources.

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In date

Signature of the author

mo:ru:e - *the peak of manhood, a mixture of bravery and kindness.*

This thesis is dedicated to my Small-B family and to my parents.

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Abstract

Název práce: Neřízené a polořízené vícejazyčné učení pro jazyky s nedostatkem zdrojů

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Abstrakt: Práce se zaměřuje na neřízenou morfologickou segmentaci, jednu ze základních úloh počítačového zpracování přirozeného jazyka. V této úloze je cílem rozložit slova na morfémy. Popisuji a reimplementuji model navržený v [Lee *et al.* \(2011\)](#) a vyhodnocuji ho na 4 jazycích. Navíc navrhuji generativní model, který dokáže využít reprezentaci slov jako přídavné rysy. Slovní reprezentace jsou rovněž získávány neřízeným způsobem pomocí strojového učení a neuronového jazykového modelu. Pokusy ukazují, že s využitím těchto přídavných rysů celková úspěšnost neřízeného modelu vzrůstá.

Klíčová slova: neřízené učení, morfematická segmentace

Title: Unsupervised and Semi-Supervised Multilingual Learning for Resource-Poor Languages

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Supervisor: RNDr. Daniel Zeman, Ph.D., Institute of Formal and Applied Linguistics & Marco A. Wiering, Assistant professor, Artificial Intelligence department, University of Groningen

Abstract: This thesis focuses on unsupervised morphological segmentation, the fundamental task in NLP which aims to break words

into morphemes. I describe and re-implement a model proposed in [Lee *et al.* \(2011\)](#) and evaluate it on 4 languages. Moreover, I present a generative model that could use word representation as extra features. The word representations are learnt in unsupervised manner using neural language model. The experiment shows that using extra features improves the performance of the unsupervised model.

Keywords: unsupervised learning, morphological segmentation

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Chapter 1

Introduction

1.1 Unsupervised Morphological Learning

1.1.1 Morphology

“I never heard of *Uglification*,” Alice ventured to say. “What is it?” The Gryphon lifted up both its paws in surprise. “Never heard of uglifying!” it exclaimed. “You know what to beautify is, I suppose?” “Yes,” said Alice doubtfully: “it means to make prettier.” “Well, then,” the Gryphon went on, “if you don’t know what to uglify is, you are a simpleton.”

LEWIS CARROLL, *Alice’s Adventures in Wonderland*, 1865.

In linguistics, morphology refers to the study of the internal structure of words, and of the process by which words are formed. Words are made up of *morphemes*, the smallest semantically meaningful units in a language. There are two types of morphemes, *free morphemes* and *bound morphemes*. A free morpheme can stand alone by itself as a word in the language, whereas bound morpheme can only occur as part of a larger word.

The atomic core of a word is a morpheme *root*. A root may or may not occur alone as a word, for example the root **ling** in “linguist”. A *stem* is a word without *inflectional affixes*. A stem is often a result of compounding a root with other affixes, for example the word “unbearable” is formed by putting prefix **un**, stem **bear**, and suffix **able** together.

Affixes are bound morphemes which always appear attached to a root or a stem. A morpheme that occurs before a root or a stem is called *prefix*, a morpheme that occurs after a root or a stem is called *suffix*. In some languages, morphemes can be inserted into other morphemes, or attached to a root/stem both initially

and finally. These morphemes are called *infixes*, the former, and *circumfixes*, the latter.

Bound morphemes can be classified into two categories: inflectional morphemes and derivational morphemes. Generally, there is a distinction between *inflectional morphology* and *word formation*. Inflectional morphology deals with the various realizations of the same lexeme, depending on its grammatical function, such as tense, number, gender and so forth. Inflectional morphemes never change the grammatical category of the stems to which they are attached. For example, suffixes *-s* and *-es* can be added to singular nouns to form plural nouns. Word formation deals with creating new lexemes from existing ones either by derivational rule, or compounding rule. Unlike inflectional morphemes when derivational morphemes attach to stems, new words with new meaning are formed. The Mock Turtle added *-ify* to the adjective “ugly” to form a verb “uglify” - means “to make ugly,” then he went even further by adding *-cation* to form a noun - means “the process of making ugly.” Compounding, or composition, on the other hand, refers to the process of constructing new words by putting existing lexemes (free morphemes) together. For example, words like “Batman”, “Watchmen”, and “Sabretooth” are formed by compounding process.

1.1.2 Unsupervised Morphological Learning

There are three common tasks for morphological learning:

1. Morphological segmentation.
2. Identification of morphologically related word forms.
3. Morphological analysis.

Under unsupervised setting, the third task is considered as the most challenge task. The output of a morphological analysis stem not only contains a list of ordered morphemes for a given word but also a label (syntactic class) for each morpheme. The second task is especially useful for many information retrieve systems. There is some significant results for this task, for example [Dreyer & Eisner \(2011\)](#) developed a model that could organize words into structured inflectional paradigms.

The focal point of this thesis is the first task, namely unsupervised morphological learning. That is, given a collection of raw (unannotated) natural language text data, I develop a statistical model, which could learn automatically the morphological structure of the language of the input with minimal supervision.

The model in this work is devoted to concatenate morphology (i.e. morphemes are put together.)

1.2 Motivation

Unsupervised morphological learning poses many interesting problems for researchers across different fields, from computational linguistics, cognitive science to machine learning.

In computational linguistics context, having morphological analysis of words could help other downstream NLP applications to battle data sparsity problem, especially for morphologically rich languages. [Toutanova *et al.* \(2008\)](#) improved the quality of statistical machine translation over both phrasal and syntax-based SMT by applying models that predict word forms from their stems. [Cowan & Collins \(2005\)](#) showed that exploiting morphology leads to the improvement of Spanish syntactic parser.

In cognitive science context, a powerfully computational model could shed light on how the child accomplishes the immense task of language acquisition. Unsupervised morphological learning, or more generally, unsupervised linguistic structure learning, can be considered as “the problem of induction,” a famous puzzle that philosophers have inquired for over two thousand years, from Plato and Aristotle through Hume, Whewell, and Mill to Carnap, Quine, Goodman, and others in the 20th century. Computational models, which take reverse-engineering human learning and cognitive development approaches, as [Tenenbaum *et al.* \(2011\)](#) pointed out, can help to address some of the deepest questions about the nature and origins of human thought.

In machine learning context, unsupervised induction is more challenging in term of modeling and evaluation. Many powerful machine learning techniques have been developed to make use of unannotated data. [Smith & Eisner \(2005\)](#) proposed contrastive estimation, a technique that exploits implicit negative evidence to move the probability mass to the observed data. This technique, then, has been used successfully in log-linear models proposed by [Poon *et al.* \(2009\)](#) for unsupervised morphological segmentation task.

Last but not least, the ultimate motivation of this thesis is to build a morphological segmentation tool for poor-resource languages, for which few or no linguistically annotated resources are available.

1.3 Thesis outline

The thesis is organized as follows. Chapter 2, reviews some related works. Each of them took different approach which employed many interesting ideas from both linguistics and machine learning point of views. Chapter 2 also provides some background of Bayesian inference to prepare for the presentation of the models in this work. Chapter 3, presents two common evaluation methods for unsupervised

morphological segmentation task that I use to evaluate the results along with the paired significance tests method to show the significant improvement is not due to luck. Chapter 4 describes the model proposed by Lee *et al.* (2011) and the results of using the models for various languages. Chapter 5 applies the idea of using word representations as extra features for existing NLP systems. This idea has been exploited successfully for many supervised learning tasks, however there is a limited number of works that exploits this direction for unsupervised learning. Chapter ?? summarizes the contribution of the thesis and discusses the limitations and directions for future work.

Chapter 2

Background

2.1 Previous Work

In the absence of labels, unsupervised learning must rely on a strong prior hypothesis that reflects prior knowledge about the task. In unsupervised morphological learning, a common-used hypothesis is the Minimum Description Length (MDL) principle [Rissanen \(1989\)](#), which favors compact representations of lexicon and corpus.

[Creutz \(2006\)](#) developed Morfessor, a language-independent, data-driven method for the unsupervised morphological segmentation. Morfessor has been applied successfully for various languages. Among different versions of Morfessor, Morfessor Baseline [Creutz \(2003\)](#); [Creutz & Lagus \(2002\)](#) is the oldest version and Morfessor Categories-MAP (Morfessor CatMAP for short) [Creutz & Lagus \(2005a\)](#) is the latest version.

Morfessor Baseline is based on the idea of language model. Given a corpus, it learns the optimal lexicon and segmentation by using MAP estimation:

$$\arg \max_M P(M|\text{corpus}) = \arg \max_M P(\text{corpus}|M)P(M) \quad (2.1)$$

where M is the language model for morphemes.

The prior probability $P(M)$ is the product of probability distributions $P(f)$ over morpheme frequency and probability distributions $P(l)$ over morpheme length.

$$P(M) = \prod_{i=1}^M P(f_{\sigma_i}) \times \prod_{i=1}^M P(l_{\sigma_i}) \quad (2.2)$$

where $\{\sigma_1, \dots, \sigma_M\}$ is the set of morphemes in M . Let f_{σ_i} and l_{σ_i} denote frequency and length of morpheme σ_i respectively. Morfessor Baseline models frequency explicitly by choosing Zipf distribution for $P(f)$, and it selects Gamma distribution for morpheme length $P(l)$.

Likelihood $P(\text{corpus}|M)$ in Morfessor Baseline simply is the product of frequencies of all morphemes in the corpus.

$$P(\text{corpus}|M) = \prod_{j=1}^W \prod_{k=1}^{n_j} P(\sigma_{jk}) \quad (2.3)$$

here W is the size of the corpus (token-level), n_j is the number of morphemes in the j^{th} word, σ_{jk} is the k^{th} morpheme in n_j morphemes, and

$$P(\sigma_i) = \frac{f_{\sigma_i}}{\sum_{j=1}^n f_{\sigma_i}}$$

Morfessor Baseline employs MDL by taking frequency into account. MB seeks for the optimal set of morphemes by keeping the most frequent word types unsplit and splitting rare word types excessively.

While Morfessor Baseline ignores context dependency between morphemes (it treats “s wing” and “wing s” equally), Morfessor CatMAP makes use of this dependency by using Hidden Markov Model (HMM) to model transition probabilities between morpheme categories and emission probabilities of morphemes from categories. In Morfessor CatMAP, the MAP estimate needed to be maximized is similar to the MAP equation in Morfessor Baseline:

$$\arg \max_{\text{lexicon}} P(\text{lexicon}|\text{corpus}) = \arg \max_{\text{lexicon}} P(\text{corpus}|\text{lexicon})P(\text{lexicon}) \quad (2.4)$$

Morfessor CatMAP differs from Morfessor Baseline in the way it defines prior probability $P(\text{lexicon})$ and likelihood probability $P(\text{corpus}|\text{lexicon})$. Every morpheme in lexicon is considered as a set of *form* and *meaning*. The probability of the form of a morpheme depends on whether it is represented as a string, a letter or a concatenation of two sub-morphemes. The probability of the meaning of a morpheme depends on its frequency, its length and its context (defined through left and right perplexity). The likelihood probability $P(\text{corpus}|\text{lexicon})$ employs a first-order HMM to model the agreement between words and their category as well as inter-word syntax.

$$P(\text{corpus}|\text{lexicon}) = \prod_{j=1}^W \left[P(C_{j1}|C_{j0}) \prod_{k=1}^{n_j} P(\sigma_{jk}|C_{jk})P(C_{j(k+1)}|C_{jk}) \right] \quad (2.5)$$

where C_{jk} denotes the category of k^{th} morpheme σ_{jk} in j^{th} word with n_j segments.

Lignos (2010) presented MORSE (**M**ORphological **S**parsity **E**mbiggens **L**earning) system in Morpho Challenge 2010, which attained impressive performance. The

MORSE system is fairly simple, it learns the transformation rules from minimal word-pairs in training data by updating repeatedly Base, Derived, and Unmodeled word sets. Base word set is the set consists of stems that the system has predicted so far. Derived word set is the set of words that can be derived from Base by applying learned transformation rules. Unmodeled word set is the set of words that have not been moved to Base and Derived word sets yet. [Lignos \(2010\)](#) employed the compounding model of [Koehn & Knight](#) to refine the set of learned morphemes $S = \{\sigma_1, \dots, \sigma_n\}$

$$\arg \max_S \left(\prod_{\sigma_i \in S} \text{count}(\sigma_i) \right)^{\frac{1}{n}} \quad (2.6)$$

Algorithm 1 MORSE algorithm

Add all the words to Unmodeled word set.

for $t = 1 \rightarrow T$ **do**

 Score suffixes and transformation rules and select the best transformation rules

 Move the words used in selected transform

 Performing Base Inference, inferring new bases and adding them the learned transforms

 Optionally perform compounding for the current iteration

end for

Optionally perform compounding after learning is complete

[Poon *et al.* \(2009\)](#) proposed a log-linear model that could incorporate simple exponential priors inspired by MDL, and overlapping features. The key component of the model is a morpheme-context model, which can capture rich segmentation regularities by looking at the context patterns. Context of a morpheme is represented using n -grams before and after that morpheme, for some constant n . For instance, Arabic word **w-v1Av-wn** (hyphens indicate morpheme boundaries) has three bigram context features **##_v1**, **#w_wn**, and **Av_##** corresponding to three morphemes **w**, **v1Av**, and **wn** respectively. Formally, the model defines a joint probability distribution over a set of types¹ W and a segmentation S as follow:

$$P_{\theta}(W, S) = \frac{1}{Z} u_{\theta}(W, S) \quad (2.7)$$

¹Authors reported that in their experiment, learning and inference using word types give better result than using tokens.

where Z is the normalizing constant and

$$u_{\theta}(W, S) = \exp \left(\sum_{\sigma} \lambda_{\sigma} f_{\sigma}(S) + \sum_c \lambda_c f_c(S) + \alpha \cdot \sum_{t \in \{-, 0, +\}} \sum_{\sigma \in L_t} l(\sigma) + \beta \sum_{w \in W} \frac{s(w)}{l(w)} \right) \quad (2.8)$$

in which, σ is a morpheme string; c is a morpheme-context; L_{-} , L_0 , and L_{+} are sets of prefix, stem, and suffix lexicons induced by S ; $l(w)$ denotes length of a string w ; $s(w)$ denotes number of morphemes in w given S .

[Poon *et al.* \(2009\)](#) used DELORTRANS1 (deleting any character or transposing any pair of adjacent characters) to obtain a set of neighborhoods of the observed data. These neighborhoods served as pseudo-negative examples to move probability mass to the observed data using contrastive estimation [Smith & Eisner \(2005\)](#).

While log-linear model has been successfully applied for Arabic language, reducing F1 error by 11% compared to Morfessor, it does not make use of the connection between part-of-speech (POS) categories and morphological properties. [Lee *et al.* \(2011\)](#) proposed a generative model which utilized this tight connection without assuming access to full-fledged syntactic information. This model captured two aspects of the morpho-syntactic connection:

- Morphological consistency within POS categories. Words that belong to the same syntactic category tend to have similar affixes.
- Morphological realization of grammatical agreement. Grammatical agreement can be expressed via correlated morphological markers. In Penn Arabic treebank corpus, exact suffix matching of adjacent words has 94% precision at the token-level.

Since the work in this thesis is based on this model, I will spend chapter 4 to go into technical details of the model.

The review would not be completed without mentioning the model proposed by [Goldwater *et al.* \(2006\)](#). This model extends standard generative models with an adaptor that captures one of the most striking properties of natural languages: the power-law distribution in the frequencies of word tokens or Zipf’s law. The model, which is referred as a two-stage language model, contains a generator and an adaptor. The generator generates words by first, generating inflectional class for the words then, stems and suffixes are generated conditionally on the class. The adaptor produces the power-law distribution using Pitman-Yor process [Pitman & Yor \(1997\)](#). Operating on tokens level, this model allows different tokens of the same type to have different analyses.

A larger body of work in unsupervised learning recently devotes to unsupervised multilingual learning. It has been showed that unsupervised multilingual

learning has pushed the state-of-the-art in language technology to new limits [Snyder & Barzilay \(2010\)](#). The key idea of unsupervised multilingual learning is to explore the deep links among human languages. A common approach for multilingual learning is to use knowledge of source languages to guide learning algorithm on target languages. The knowledge can be transferred through heuristic “projection” [Yarowsky & Ngai \(2001\)](#) or constraints in learning [Das & Petrov \(2011\)](#); [McDonald *et al.* \(2011\)](#); [Naradowsky & Toutanova \(2011\)](#); [Täckström *et al.* \(2012\)](#) or inference [Cohen *et al.* \(2011\)](#). Another direction of research in unsupervised multilingual learning is to learn a joint model exploiting hypothesis that cross-lingual variations in linguistic forms correspond to systematic variations in ambiguity [Snyder & Barzilay \(2008\)](#); [Snyder *et al.* \(2008, 2009\)](#).

In unsupervised morphological learning, [Snyder & Barzilay \(2008\)](#) modeled both abstract morphemes (cross-lingual morpheme patterns) as well as stray morphemes (morphemes that appear in one language without their counterparts in other language) using a hierarchical Bayesian model. Given a parallel corpus, a distribution \mathcal{A} over bilingual morpheme pairs, a distribution \mathcal{E} , and a distribution \mathcal{F} over stray morphemes in each language are drawn from Dirichlet processes. To find the set of morphemes which yields a high joint probability, [Snyder & Barzilay \(2008\)](#) performed Gibbs sampling over all possible draws of the distributions \mathcal{A} , \mathcal{E} , and \mathcal{F} . This model not only can induce morpheme segmentations for each language but also can discover abstract bilingual morphemes like (un, ne) for English-Czech language pair or (im, un) for English-German language pair¹.

Treating morphological analysis as a structured prediction problem, [Kim *et al.* \(2011\)](#) defined a morphological space, in which each language is resided as a datapoint. They employed a fairly simple set of morphological features for any labeled language:

- Number of unique stems.
- Number of unique suffixes.
- Number of unique deletion rules. There are three type of deletion rules: deletion of final vowels ($. .V\# \rightarrow . .\#$), deletion of penultimate vowels ($. .VC\# \rightarrow . .C\#$), and removals or additions of final accent marks (e.g. $. .\acute{s}\# \rightarrow . . .s\#$).
- Entropy of stems.
- Entropy of suffixes.
- Entropy of deletion rules.

¹I implemented this idea in my model using Chinese Restaurant Process, however, it is only good at finding bilingual abstract prefixes.

- Percentage of unsegmented word types.
- Percentage of segmented word types which employ a deletion rule.

Given annotated languages serving as training examples, [Kim et al. \(2011\)](#) developed a structured nearest neighbor prediction method which searches for the best morphological analysis for each unlabeled language by minimizing its distance to each of the training languages. The limitation of this method is that currently it only works for nominal inflectional suffix morphology, on which a small set of deletion rules can apply¹.

2.2 Computational preliminaries

In this section I review some basic ideas of Bayesian inference, particularly focusing on two important prior distributions, namely, Multinomial distribution and Dirichlet distribution. These distributions play a crucial role in simplifying the inference formula, which makes it easier for sampling algorithms such as Gibbs sampling. I will establish a short-cut for sampling equations used later on by deriving a generic formula for a joint distribution which takes Multinomial distribution as prior.

2.2.1 Bayesian Inference

In any generative model, Bayesian inference plays an important part for updating beliefs about latent variables given observed data. At the heart of Bayesian inference is Bayes rule:

$$P(h|d) = \frac{P(d|h) P(h)}{\sum_{h' \in H} P(d|h') P(h')} \propto P(d|h) P(h) \quad (2.9)$$

$P(h)$ is the *prior probability* which encodes the learner's degree of belief in a hypothesis without any knowledge of the observations. $P(h|d)$ is the *posterior probability*, which measures how expected the data are under hypothesis h , relative to all other hypotheses h' in hypothesis space \mathcal{H} .

The goal of learning is to select the most probable hypothesis \hat{h} given the observed data. In case prior knowledge is not provided, Maximum-Likelihood estimation (MLE) is a common method that used to select such a hypothesis \hat{h} .

MLE assumes that all hypotheses are equally probable a priori, then the posterior probability (probability of a hypothesis h given the observed data d)

¹I tried to reproduce their experiment, but at the step of computing morphological features, my result is far closer to what they reported in their paper.

is proportional to the likelihood $P(d|h)$. Learning hypothesis \hat{h} is equivalent to choosing the single hypothesis with the highest likelihood:

$$\hat{h} = \arg \max_h P(d|h) \quad (2.10)$$

In context of unsupervised learning, many successful generative models have imposed strong constraints on the priors. Successful generalization depends on taking the right constraints. A often-used constraint is Minimum Description Length (MDL) principle, a mathematical formalization of Occam's Razor which favors simpler hypotheses over more complex ones. Under prior constraints, Maximum a Posteriori (MAP) is a method that provides a principled way to compare hypotheses with different numbers of parameters, and to select the most probable one:

$$\hat{h} = \arg \max_h P(d|h) P(h) \quad (2.11)$$

2.2.2 Conjugate Priors

MDL constraint has been used successfully in many unsupervised learning tasks, especially in unsupervised morphological learning as I mentioned earlier. However, the choice of prior constraints can greatly affect the complexity of the models. Within Bayesian statistics, certain kinds of distributions have been widely used as priors because of their convenient mathematical properties. To illustrate some of these properties, and to prepare for the presentation of the model in this thesis, I will take Dirichlet distribution, a prior over categorical as the example.

Consider a random variable that can take on one of K possible outcomes $\{1, \dots, K\}$, in which the probability of outcome $k \in \{1, \dots, K\}$ is θ_k . Let $\mathbf{x} = \{x_1, \dots, x_n\}$ be the set of outcomes sampled from this categorical distribution (i.e. $x_i \in \{1, \dots, K\}$ and $P(x_i = k) = \theta_k$). This can be expressed as follows:

$$x_i | \boldsymbol{\theta} \sim \text{Cat}(\boldsymbol{\theta}) \quad (2.12)$$

where $\boldsymbol{\theta} = \{\theta_1, \dots, \theta_k\}$

The Dirichlet prior is a distribution over parameter space $\boldsymbol{\theta}$. Using a Dirichlet prior over a categorical distribution thus gives a model:

$$x_i | \boldsymbol{\theta} \sim \text{Cat}(\boldsymbol{\theta}) \quad (2.13)$$

$$\boldsymbol{\theta} | \boldsymbol{\beta} \sim \text{Dir}(\boldsymbol{\beta}) \quad (2.14)$$

Recall the definition of the Dirichlet distribution:

$$P(\boldsymbol{\theta}|\boldsymbol{\beta}) = \frac{1}{B(\boldsymbol{\beta})} \prod_{k=1}^K \theta_k^{\beta_k-1}$$

with

$$B(\boldsymbol{\beta}) = \frac{\prod_{k=1}^K \Gamma(\beta_k)}{\Gamma\left(\sum_{k=1}^K \beta_k\right)}$$

where $\beta_k > 0$. $B(\boldsymbol{\beta})$ is the normalizing constant, which is expressed in terms of the Gamma function $\Gamma(z) = \int_0^\infty t^{z-1} e^{-t} dt$ for $z > 0$.

Using Bayes' rule to estimate the underlying parameter $\boldsymbol{\theta}$ of the categorical distribution given a collection of n samples $\{x_1, \dots, x_n\}$:

$$\begin{aligned} P(\boldsymbol{\theta}|\mathbf{x}, \boldsymbol{\beta}) &\propto P(\mathbf{x}|\boldsymbol{\theta})P(\boldsymbol{\theta}|\boldsymbol{\beta}) \\ &\propto \prod_{i=1}^n P_{\boldsymbol{\theta}}(x_i) \prod_{k=1}^K \theta_k^{\beta_k-1} \\ &= \prod_{k=1}^K \theta_k^{n_k} \prod_{k=1}^K \theta_k^{\beta_k-1} \\ &= \prod_{k=1}^K \theta_k^{n_k+\beta_k-1} \end{aligned} \tag{2.15}$$

Choosing Dirichlet distribution $Dirichlet(\boldsymbol{\beta})$ as the prior over categorical parameters leads the posterior $P(\boldsymbol{\theta}|\mathbf{x}, \boldsymbol{\beta})$ to having the form of another Dirichlet distribution, with parameters $n_k + \beta_k$. A prior is called *conjugate prior* for the likelihood if the posterior distribution is in the same analytical form as the prior probability distribution.

2.2.3 Point estimation

MAP estimate, as discussed in 2.2.1, of the posterior in equation 2.15 results in

$$\theta_k = \frac{n_k + \beta_k - 1}{n + \sum_{k=1}^K (\beta_k - 1)} \tag{2.16}$$

The form of equation 2.16 is equivalent to the maximum likelihood estimate of $\boldsymbol{\theta}$ with observed counts $\{n_1 + \beta_1 - 1, \dots, n_K + \beta_K - 1\}$.

Goldwater (2007) pointed out a problem with MAP when any β_k is less than one. Follow the example in Goldwater (2007), assume that we are interested in

Table 2.1: A toy probabilistic grammar

| | |
|---------------------------|-------------------|
| θ_x | $S \rightarrow X$ |
| θ_y | $S \rightarrow Y$ |
| $1 - \theta_x - \theta_y$ | $S \rightarrow B$ |
| 1 | $X \rightarrow a$ |
| 1 | $Y \rightarrow a$ |
| 1 | $B \rightarrow b$ |

learning syntactic rule probabilities for parsing. Data d contains only two strings a and b , probabilistic grammar rules are given in table 2.1.

We initialize all production rules with uniform probability $\theta_x = \theta_y = \frac{1}{3}$ and use symmetric Dirichlet prior for $\theta = (\theta_x, \theta_y)$ by setting $\beta_x = \beta_y = \beta = 0.2$. Expectation-Maximization (EM) computes the expected counts n_x and n_y for rules $S \rightarrow X$ and $S \rightarrow Y$ are both 0.5, and the expected count n_b for rule $S \rightarrow B$ is 1. From equation 2.15, posterior probability of θ given data d and hyperparameter β is proportional to:

$$\begin{aligned}
 P(\theta|d, \beta) &\propto \theta_x^{n_x+\beta-1} \theta_y^{n_y+\beta-1} (1 - \theta_x - \theta_y)^{n_b+\beta-1} \\
 &= \theta_x^{-0.3} \theta_y^{-0.3} (1 - \theta_x - \theta_y)^{0.2}
 \end{aligned}
 \tag{2.17}$$

This posterior probability function is maximized when $\theta_x \rightarrow 0$ and $\theta_y \rightarrow 0$. Thus, it makes the string a unparseable.

2.2.4 Inference via sampling

The drawback of point estimation methods is that they simply disregard the knowledge about a whole distribution. As an example, assume that we want to predict the outcome for a new observation x_{n+1} in 2.2.2 using posterior information 2.15. The conditional distribution of x_{n+1} given all previous observations is

derived by integrating over all possible values of $\boldsymbol{\theta}$:

$$\begin{aligned}
P(x_{n+1} = j | \mathbf{x}, \boldsymbol{\beta}) &= \int_{\Delta} P(x_{n+1} = j | \boldsymbol{\theta}) P(\boldsymbol{\theta} | \mathbf{x}, \boldsymbol{\beta}) d\boldsymbol{\theta} \\
&= \int_{\Delta} \theta_j \frac{\Gamma\left(n + \sum_{k=1}^K \beta_k\right)}{\prod_{k=1}^K \Gamma(n_k + \beta_k)} \prod_{k=1}^K \theta_k^{n_k + \beta_k - 1} d\boldsymbol{\theta} \\
&= \frac{\Gamma\left(n + \sum_{k=1}^K \beta_k\right)}{\prod_{k=1}^K \Gamma(n_k + \beta_k)} \int_{\Delta} \theta_j^{n_j + \beta_j} \prod_{k \neq j} \theta_k^{n_k + \beta_k - 1} d\boldsymbol{\theta} \\
&= \frac{\Gamma\left(n + \sum_{k=1}^K \beta_k\right)}{\prod_{k=1}^K \Gamma(n_k + \beta_k)} \times \frac{\Gamma(n_j + \beta_j + 1) \prod_{k \neq j} \Gamma(n_k + \beta_k)}{\Gamma\left(n + 1 + \sum_{k=1}^K \beta_k\right)} \\
&= \frac{n_j + \beta_j}{n + \sum_{k=1}^K \beta_k}
\end{aligned} \tag{2.18}$$

where Δ denotes the probability simplex, i.e. the set of all possible $\boldsymbol{\theta}$ such that $\theta_1 + \dots + \theta_K = 1$.

We integrate out $\boldsymbol{\theta}$, in the final formula, there is no more $\boldsymbol{\theta}$. I briefly explain how maths works cleanly in 2.18. From 2.15 we know $P(\boldsymbol{\theta} | \mathbf{x}, \boldsymbol{\beta}) = c \prod_{k=1}^K \theta_k^{n_k + \beta_k - 1}$, and because $P(\boldsymbol{\theta} | \mathbf{x}, \boldsymbol{\beta})$ is the form of a Dirichlet distribution, so we know the value of normalizing constant c . Applying the property of Dirichlet distribution:

$$\int_{\Delta} \prod_{k=1}^K \theta_k^{\beta_k - 1} d\boldsymbol{\theta} = B(\boldsymbol{\beta})$$

where $\sum_{k=1}^K \theta_k = 1$, we have:

$$\int_{\Delta} \theta_j^{n_j + \beta_j} \prod_{k \neq j} \theta_k^{n_k + \beta_k - 1} d\boldsymbol{\theta} = \frac{\Gamma(n_j + \beta_j + 1) \prod_{k \neq j} \Gamma(n_k + \beta_k)}{\Gamma\left(n + 1 + \sum_{k=1}^K \beta_k\right)}$$

The last line of 2.18 is obtained by using the property of Gamma function $\Gamma(x + 1) = x\Gamma(x)$.

Equation 2.18 with hyperparameters β_k allows x_{n+1} can select any outcome $k \in \{1, 2, \dots, K\}$ where the most probable outcome has highest probability and the most improbable outcome has lowest non-zero probability.

In general, dealing with the whole distribution, we are interested in calculating the expected value of a function $f(z)$, where z is a random variable.

$$E[f(z)] = \int f(z) p(z) dz \quad (2.19)$$

In Bayesian inference, often $p(z)$ is the prior probability and $f(x)$ is the likelihood function. We can rewrite 2.19 as:

$$E_{p(z)}[f(z)] = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{t=1}^N f(z^{(t)}) \quad (2.20)$$

In practice, we approximate 2.20 by sampling only finite number of times, T :

$$E_{p(z)}[f(z)] \approx \frac{1}{T} \sum_{t=1}^T f(z^{(t)}) \quad (2.21)$$

Now, the crucial point is to get sample z^0, z^1, \dots, z^T from distribution $p(z)$. We need a function g that walks through probabilistic space, and at state z^t it walks to the next state $z^{t+1} := g(z^t)$ with probability $P_{trans}(z^{(t+1)}|z^{(0)}, z^{(1)}, \dots, z^{(t)})$. For simplicity, we use Markov property:

$$P_{trans}(z^{(t+1)}|z^{(0)}, z^{(1)}, \dots, z^{(t)}) = P_{trans}(z^{(t+1)}|z^{(t)}) \quad (2.22)$$

In the following, I will discuss Gibbs sampling, a technique that allows us to design such a function g .

2.2.5 Gibbs sampling

We want to approximate equation 2.21 by sampling $z^{(0)}, z^{(1)}, z^{(1)}, \dots, z^{(T)}$ according to $p(z)$. Let z be a point in $K > 1$ dimensions. The basic idea of Gibbs sampling is walking to the next state in K dimensions by making a probabilistic choice for each of the K dimensions, where each choice depends on the other $K - 1$ dimensions.

$$P(Z_i|z_1^{(t+1)}, \dots, z_{i-1}^{(t+1)}, z_{i+1}^{(t)}, \dots, z_K^{(t)}) = \frac{P(z_1^{(t+1)}, \dots, z_{i-1}^{(t+1)}, z_i^{(t)}, z_{i+1}^{(t)}, \dots, z_K^{(t)})}{P(z_1^{(t+1)}, \dots, z_{i-1}^{(t+1)}, z_{i+1}^{(t)}, \dots, z_K^{(t)})} \quad (2.23)$$

The point $z^{(t+1)} = g(z^{(t)})$ is computed as $\langle z_1^{(t+1)}, \dots, z_K^{(t+1)} \rangle$.

Algorithm 2 Gibbs sampling algorithm

```
 $z^{(0)} := \langle z_1^{(0)}, \dots, z_K^{(0)} \rangle$   
for  $t = 1 \rightarrow T$  do  
  for  $i = 1 \rightarrow K$  do  
     $z_i^{(t+1)}$   
  end for  
end for
```

2.2.6 Maximum Marginal Decoding

Typically, the output of the algorithm is the last sample in a stream of samples from the posterior distribution produced by Gibbs sampler. Because Gibbs sampler makes a probabilistic choice for each state, it might introduce variance and noise in its output. *Maximum marginal decoding* (MM) is a technique which assigns to each latent variable the value with the highest marginal probability, thus MM maximizes the expected number of correct assignments and reduces noise. [Stallard *et al.* \(2012\)](#) applied MM for the model of [Lee *et al.* \(2011\)](#) and obtained state-of-the-art unsupervised morphological segmentation for Arabic. They found that MM not only dramatically reduces the output variance of Gibbs sampling but also reduces noise from spurious affixes when the model is trained on a large corpus.

MM algorithm is quite straightforward: Draw N independent Gibbs samplers, and for each word type, select the most frequent segmentation.

Chapter 3

Evaluation Metrics

3.1 Evaluation for unsupervised morphological segmentation

One difficulty in evaluating morphological segmentation is that unsupervised systems usually decompose word into morphemes while gold standard contains full analysis. To illustrate this point, take “knives” as an example of a word that needs to be segmented. Since unsupervised systems do not have access to linguistically motivated morpheme labels as well as language-specific knowledge, they typically cut the word into morphemes without modifying any morpheme in the result. Such a system often decomposes “knives” as “kniv - es” instead of the conventional analysis “knife_N + Plural”, in gold standard. Nevertheless, most recent papers have used Precision, Recall, and F-measure to evaluate performance of unsupervised systems. Two evaluation methods are proposed, one compares directly the proposed segmentation, while the other compares indirectly. We describe both methods in following subsections.

3.1.1 Morpho Challenge Evaluation

Creutz & Lagus (2005b) used precision, recall, and the harmonic mean F-measure to evaluate on discovered morpheme boundaries. Precision is the fraction of correctly discovered morpheme boundaries in all discovered morpheme boundaries by the algorithm. Recall is the fraction of correctly discovered morpheme boundaries in all suggested morpheme boundaries. F-measure is given by:

$$\text{F-measure} = \frac{2 \cdot \text{Precision} \cdot \text{Recall}}{\text{Precision} + \text{Recall}} \quad (3.1)$$

These measures are widely used to evaluate performance of unsupervised mor-

phological segmentation algorithms. They are used to compare the result of participants in Morpho Challenge 2005¹, 2007², 2008³, 2009⁴, and 2010⁵, a series of workshops on semi-supervised and unsupervised methods for morphological analysis.

In Morpho Challenge, the result is evaluated on a sample of a large number of word pairs, where both words in a word pair share at least one gold standard morpheme in common. A system which has highest F-measure is the best system.

- *Precision* is calculated as follows: A number of word forms will be sampled from the result file such that for each morpheme in these words, another word having the same morpheme will be chosen randomly if such a word exists. Hence, we obtain a number of word-pairs, such that two words in a word-pair share at least one morpheme in common. These word-pairs will be compared against gold standard. We give one point for a correct word-pair, and the final point for each sampled word form is normalized to one. Precision is then computed by taking the total number of points divided by the total number of sampled words. For example, assume that the proposed analysis of the word “abyss” is “abys - s”. By sampling the result file, assume that we find “abys - s - es” and “mountain - s” which share morpheme “abys” and “s” with “abys - s” respectively. According to gold standard, the correct analyses of these words are “abyss_N”, “abyss_N + PL”, and “mountain_N + PL”. The pair “abys - s, abys - s - es” is correct (common abyss_N), but the pair “abys - s, mountain - s” is incorrect (no common morpheme in gold standard). Thus precision for the word “abyss” is $1/2 = 50\%$.
- *Recall* is calculated analogously to precision with word forms randomly sampled from gold standard.

In order to compare our results, we adopt the evaluation procedure used in Morpho Challenge.

3.1.2 EMMA

Spiegler & Monson (2010) proposed an alternative evaluation called EMMA⁶ (an

¹<http://www.cis.hut.fi/morphochallenge2005/>

²<http://www.cis.hut.fi/morphochallenge2007/>

³<http://www.cis.hut.fi/morphochallenge2008/>

⁴<http://www.cis.hut.fi/morphochallenge2009/>

⁵<http://research.ics.aalto.fi/events/morphochallenge2010/>

⁶The script is available to download at <http://www.cs.bris.ac.uk/Research/MachineLearning/Morphology/>

Evaluation Metric for Morphological Analysis), which has been used in Morpho Challenge 2010.

The key idea of EMMA is that it does not directly compare discovered and answer analyses, instead, it seeks a one-to-one relabeling of discovered morphemes that renders them as similar as possible to the answer. The final measures (Precision, Recall, and F-measure) are then computed on the approximated isomorphism. To achieve this goal, EMMA finds the optimal maximum matching in a bipartite graph $\mathcal{G} = \{\mathcal{D}, \mathcal{A}; \mathcal{E}\}$, where \mathcal{D} is the set of all unique morphemes in discovered analysis, \mathcal{A} is the set of all unique morphemes in the answer analyses, and the set of edges $e(d_i, a_j) \in \mathcal{E}$ such that each edge has one vertex in \mathcal{D} and the other in \mathcal{A} .

A *maximum matching* $\mathcal{M} \subset \mathcal{E}$ is a matching where there is no other $\mathcal{M}' \subset \mathcal{E}$ such that $|\mathcal{M}'| > |\mathcal{M}|$. Let $w(d_i, a_j)$ be the weight assigned to the edge $e(d_i, a_j) \in \mathcal{E}$. The goal of EMMA is to find such an optimal assignment \mathcal{M} satisfying:

$$\mathcal{M} = \arg \max_{\mathcal{M}} \sum_{e(d_i, a_j) \in \mathcal{M}} w(d_i, a_j) \quad (3.2)$$

Given a maximum matching optimal assignment \mathcal{M} of discovered and answer morphemes, EMMA computes *Precision*, *Recall*, and *F-measure* as follows:

Let w_k be the k^{th} word in vocabulary V . Let $D_{k,r}$ be the r^{th} discovered analysis of w_k with $1 \leq r \leq m_k$, and let $A_{k,s}$ be the s^{th} answer analysis of w_k with $1 \leq s \leq n_k$. Furthermore, let $D_{k,r}^*$ denote the set of discovered morphemes of r^{th} analysis for word w_k , in which a morpheme $d_{i,r}$ is replaced by a morpheme $a_{j,s}$ if $e(d_{i,r}, a_{j,s}) \in \mathcal{M}$.

$$\text{Precision} = \frac{1}{|V|} \sum_k \frac{1}{m_k} \sum_s \sum_r b_{r,s} \frac{|A_{k,s} \cap D_{k,r}^*|}{|D_{k,r}^*|} \quad (3.3)$$

$$\text{Recall} = \frac{1}{|V|} \sum_k \frac{1}{n_k} \sum_s \sum_r b_{r,s} \frac{|A_{k,s} \cap D_{k,r}^*|}{|A_{k,s}|} \quad (3.4)$$

$$\text{F-measure} = \frac{2 \cdot \text{Precision} \cdot \text{Recall}}{\text{Precision} + \text{Recall}} \quad (3.5)$$

where $b_{r,s} = 1$ if the assignment between $D_{k,r}$ and $A_{k,s}$ is found in \mathcal{M} , otherwise, $b_{r,s} = 0$.

3.2 Statistical significance testing

Using evaluation metric like *F-measure* to compare two systems is not enough. When one system appears to outperform the other, we want to know whether the

improvement is real or it just happens by chance. Statistical significance tests give us a systematic way of quantifying the probability that the observed increase in the test score on a test set is due to luck. If that probability is low, we believe that the improvement is real, if it is high, either there is no improvement, or the data are insufficient to reflect the true improvement in system quality.

3.2.1 Hypothesis tests

When comparing a new system A to a baseline system B , we want to know if A outperforms B on some large population of data given that A wins B by a metric gain $\delta(x)$ on a small sample test set $x = x_1, \dots, x_n$. Hypothesis testing guards against the case that the victory of A over B is due merely to chance. The particular hypothesis to be tested is called the *null hypothesis*, denoted H_0 , which assumes that A is no better than B on the population as a whole. The ultimate goal of hypothesis testing is to accept or reject H_0 by estimating this likelihood, written $p(\delta(X) > \delta(x)|H_0)$, where X is a random variable over possible test sets of size n that we could have drawn, and $\delta(x)$ is a constant, the observed metric gain. Small value of $p(\delta(X) > \delta(x)|H_0)$ suggests the null hypothesis is false. We refer to $p(\delta(X) > \delta(x)|H_0)$ as $\text{p-value}(x)$. Typically $\text{p-value}(x) < 0.05$ is considered “sufficiently good” to reject H_0 .

In most cases $\text{p-value}(x)$ is not easy to compute and must be approximated. Among various approximation schemes, paired-bootstrap [Efron & Tibshirani \(1993\)](#) is one of the most widely used in NLP community [Berg-Kirkpatrick et al. \(2012\)](#); [Bisani & Ney \(2004\)](#); [Koehn \(2004\)](#); [Och \(2003\)](#). [Berg-Kirkpatrick et al. \(2012\)](#) demonstrated that paired-bootstrap can be applied to a range of NLP tasks including text summarization, dependency parsing, machine translation, word alignment, and constituency parsing. [Koehn \(2004\)](#) showed that bootstrap can give us assurances that the differences between two translation systems is real even with only 300 sentences as test data.

3.2.2 The Bootstrap

The bootstrap draws many simulated test sets $x^{(i)}$ from x by sampling n items from x with replacement for each $x^{(i)}$, then it approximates $\text{p-value}(x)$ by counting how often A beats B at least by $\delta(x)$ in sample test sets $x^{(i)}$. Algorithm 3 describes the bootstrap procedure used in [Berg-Kirkpatrick et al. \(2012\)](#).

There is a little bit difference in algorithm 3 compared to the algorithm used in [Koehn \(2004\)](#). [Koehn \(2004\)](#) increased counter s under condition $\delta(x^{(i)}) < 0$. As explained in [Berg-Kirkpatrick et al. \(2012\)](#), sample $x^{(i)}$ are drawn from x , so the mean of $\delta(x^{(i)})$ will be around $\delta(x)$. Therefore, system A will beat system B on about half of $x^{(i)}$. The solution for this problem is re-centering of the

Algorithm 3 The bootstrap procedure

Draw b bootstrap samples $x^{(i)}$ of size n by sampling with replacement from x .

Initialize $s = 0$.

for $i = 1 \rightarrow b$ **do**

if $\delta(x^{(i)}) > 2\delta(x)$ **then** $s = s + 1$

end if

end for

Estimate p-value(x) $\approx \frac{s}{b}$

mean: how often A does *more than* $\delta(x)$ *better than expected*. Thus, the condition $\delta(x^{(i)}) > 2\delta(x)$ comes from the fact that we expect A beats B by $\delta(x)$. **Berg-Kirkpatrick et al. (2012)** also noted that if the mean of $\delta x^{(i)}$ is $\delta(x)$, and if the distribution of $\delta x^{(i)}$ is symmetric, then these two versions will be equivalent.

Chapter 4

Unsupervised Morphological Segmentation

4.1 Modeling Syntax in Unsupervised Morphological Segmentation

In this section I review the state of the art unsupervised morphological segmentation model proposed by [Lee *et al.* \(2011\)](#). I also reimplement their model and perform a set of experiments and evaluate the results of the model on 4 languages: English, Turkish, Tamil, and Telugu.

[Lee *et al.* \(2011\)](#) introduced a model for unsupervised morphological segmentation that captures two prominent linguistic relations between morphology and syntax.

1. Morphological consistency within POS categories.
2. Morphological realization of grammatical agreement.

The former morpho-syntax relation captures the intuition that words belonging to the same syntactic category tend to choose similar affixes. The later relation holds for certain languages, for example in Arabic, the grammatical agreement is commonly realized using matching suffixes, for example bigrams (*adjective, noun*) in Arabic often have the same ending. While this assumption may not hold for other languages, I still describe it in this section.

4.1.1 High-level generative story

Given a corpus of unannotated and unsegmented sentences as input, the model provides a generative story explaining how the corpus was probabilistically created. The model consists of four components:

1. **Lexicon Model** generates morpheme lexicon \mathbf{L} using parameters γ . Set of lexicon \mathbf{L} consists of three separate subsets: prefixes, stems, and suffixes which are generated in a hierarchical fashion.
2. **Segmentation Model** generates word-types \mathbf{W} , their segmentations \mathbf{S} , and their syntactic categories \mathbf{T} conditionally on \mathbf{L} .
3. **Token-POS Model** generates unsegmented tokens \mathbf{w} and their parts-of-speech \mathbf{t} from standard first-order HMM.
4. **Token-Seg Model** generates token segmentations \mathbf{s} from a first-order Markov chain that has dependencies between adjacent segmentations.

The complete picture of this generative story is given in the following equation:

$$P(\mathbf{w}, \mathbf{s}, \mathbf{t}, \mathbf{W}, \mathbf{S}, \mathbf{T}, \mathbf{L}, \Theta, \theta | \gamma, \alpha, \beta) = P(\mathbf{L} | \gamma) \quad (4.1)$$

$$P(\mathbf{W}, \mathbf{S}, \mathbf{T}, \Theta | \mathbf{L}, \gamma, \alpha) \quad (4.2)$$

$$P_{pos}(\mathbf{w}, \mathbf{t}, \theta | \mathbf{W}, \mathbf{S}, \mathbf{T}, \mathbf{L}, \alpha) \quad (4.3)$$

$$P_{seg}(\mathbf{s} | \mathbf{W}, \mathbf{S}, \mathbf{T}, \mathbf{L}, \beta, \alpha) \quad (4.4)$$

where $\gamma, \Theta, \theta, \alpha, \beta$ are hyperparameters whose roles will be explained shortly.

4.1.2 Submodels and sampling equations

Now I will describe these four components of the model in details, and derive the sampling equation for each of them.

4.1.2.1 Lexicon Model

Lexicon Model is designed to encode MDL constraint as the priors. It prefers short morphemes and a compact set of morpheme lexicon \mathbf{L} . First, it draws each morpheme σ in the master lexicon L^* according to geometric distribution.

$$|\sigma| \sim \text{Geometric}(\gamma_l)$$

where hyperparameter γ_l is specified beforehand.

The choice of the distribution depends on our knowledge of the languages. For example, morphemes in Telugu often have 2 to 8 characters, thus, we can choose gamma distribution i.e. $|\sigma| \sim \text{Gama}(k, \theta)$ (Figure 4.1) instead of geometric distribution to encode this knowledge.

Having master lexicon L^* , then lexicon model draws sets of morphemes for the prefix L_- , the stem L_0 , and the suffix L_+ lexicons from morphemes in L^* . By

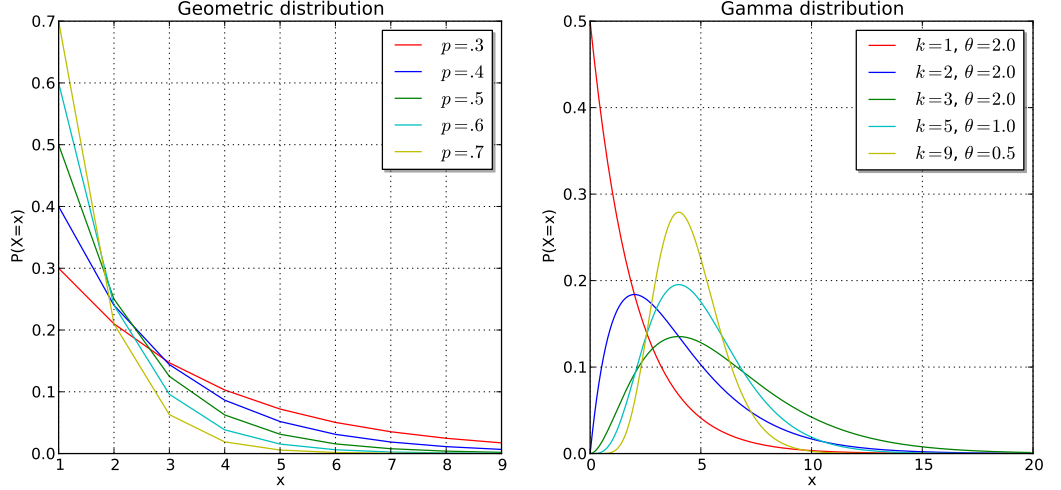


Figure 4.1: Geometric distribution and gamma distribution as the choice of priors

this hierarchical design, the morphemes can be shared among the lower-level lexicons. Therefore, the model also works for compound words. Technically speaking, assume that we allow only one stem in a word, if the morpheme “moon” is generated in L^* , then it can be used to generate suffixes or prefixes for “moonshine”, “moonstruck”, “moonwalk” and so forth. So far, the model biases toward short morphemes, to favor compact lexicons, model assigns lower probability to bigger morpheme set. This can be done using geometric distribution again:

$$\begin{aligned}
 \text{prefix : } & |L_-| \sim \text{Geometric}(\gamma_{l_-}) \\
 \text{stem : } & |L_0| \sim \text{Geometric}(\gamma_{l_0}) \\
 \text{suffix : } & |L_+| \sim \text{Geometric}(\gamma_{l_+})
 \end{aligned}$$

Let (S, T) denote the hypothesis that segments word-type Wi with segmentation S and tags it with POS tag T . Let $\mathbf{L} = (L^*, L_-, L_0, L_+)$ be the minimal lexicon under this hypothesis. The probability of hypothesis $(S, T, s = S, t = T, \mathbf{L})$ is proportional to:

$$\prod_{\sigma \in L^*} \gamma_l (1 - \gamma_l)^{|\sigma|} \times \gamma_- (1 - \gamma_-)^{|L_-|} \times \gamma_0 (1 - \gamma_0)^{|L_0|} \gamma_+ (1 - \gamma_+)^{|L_+|} \quad (4.5)$$

Starting with every word-type as a morpheme, if a hypothesis introduces a new morpheme σ_- as a suffix it has to pay an additional cost $(1 - \gamma_-) \times \gamma_l (1 - \gamma_l)^{|\sigma_-|}$ compared to the hypothesis that introduces none.

In practice, we assign $\gamma_0 \ll \min\{\gamma_-, \gamma_+\}$. By doing this, we capture the fact that the set of prefixes and suffixes are much smaller than the set of stems.

To sum up, the model penalizes hypothesis for increasing the size of lexicons while encouraging it to make a reasonable segmentation.

4.1.2.2 Segmentation Model

Segmentation Model captures the agreement between morphology and syntactic class. The model generates each word-type independently using morphemes in stem and affix lexicons, such that each word-type has only one stem and affixes attached to the stem are generated conditionally on the syntactic classes. In their preliminary experiments, [Lee et al. \(2011\)](#) found that the model performed worst when stems are generated conditioned on the tag. [Lee et al. \(2011\)](#) argued that the connection between affixes and POS tag is stronger than the connection between stems and POS tag. In the following, I describe the generative process in the segmentation model.

First, the model generates categorical distribution parameters for the POS tag from symmetric Dirichlet prior:

$$\Theta_T \sim \text{Dirichlet}(\alpha_T, \{1, \dots, K\})$$

where α_T is the concentration parameter and K is the number of tags, which is fixed and set beforehand.

For each tag $T \in \{1, \dots, K\}$, the model generates parameters for categorical distribution from Dirichlet prior for the prefix and suffix lexicons. Categorical distribution parameters for stem lexicon are generated (from symmetric Dirichlet prior) independently from tag T :

$$\begin{aligned} \Theta_{-|T} &\sim \text{Dirichlet}(\alpha_-, L_-) \\ \Theta_0 &\sim \text{Dirichlet}(\alpha_0, L_0) \\ \Theta_{+|T} &\sim \text{Dirichlet}(\alpha_+, L_+) \end{aligned}$$

For each word-type W_i , the number of morphemes in its segmentation S is drawn from truncated geometric distribution which allows maximum m morphemes per word-type:

$$|S| \sim \text{Truncated-Geometric}(\gamma_{|S|}) = \frac{\gamma_{|S|}(1 - \gamma_{|S|})^{|S|}}{\sum_{j=1}^m \gamma_{|S|}(1 - \gamma_{|S|})^j}$$

Once the number of morphemes is sampled, the model randomly picks one morpheme as stem from uniform distribution, the prefixes and suffixes are then determined according to the position of the stem.

Next, the model draws syntactic category T of word-type Wi from categorical distribution:

$$T \sim \text{Cat}(\Theta_T)$$

Afterward, the model generates stem σ_0 , prefixes σ_- , and suffixes σ_+ independently:

$$\begin{aligned}\sigma_0 &\sim \text{Cat}(\Theta_0) \\ \sigma_-|T &\sim \text{Cat}(\Theta_{-|T}) \\ \sigma_+|T &\sim \text{Cat}(\Theta_{+|T})\end{aligned}$$

Recall equation 2.18 for computing the posterior $P(x_{n+1} = j|\mathbf{x}, \boldsymbol{\beta})$ for a new observation x_{n+1} given previous observations $\mathbf{x} = x_1, \dots, x_n$ drawn from categorical distribution with hyperparameters $\boldsymbol{\beta}$:

$$P(x_{n+1} = j|\mathbf{x}, \boldsymbol{\beta}) = \frac{n_j + \beta_j}{n + \sum_{k=1}^K \beta_k}$$

Using this formula, the probability of generating tag T , stem σ_0 , prefix σ_- , and suffix σ_+ for word-type Wi is computed as the product of the following equations:

$$P(t_i = T|\mathbf{T}^{-i}, \alpha_T) = \frac{n_T^{-i} + \alpha_T}{N^{-i} + \alpha_T K} \quad (4.6)$$

$$P(\sigma_0|\mathbf{L}^{-i}, \alpha_0) = \frac{n_{\sigma_0}^{-i} + \alpha_0}{N_0^{-i} + \alpha_0 |L_0|} \quad (4.7)$$

$$P(\sigma_-|\mathbf{L}^{-i}, \alpha_-) = \frac{n_{\sigma_-|T}^{-i} + \alpha_-}{N_{-|T}^{-i} + \alpha_- |L_-|} \quad (4.8)$$

$$P(\sigma_+|\mathbf{L}^{-i}, \alpha_+) = \frac{n_{\sigma_+|T}^{-i} + \alpha_+}{N_{+|T}^{-i} + \alpha_+ |L_+|} \quad (4.9)$$

where the superscript $-i$ indicates that the relative counts exclude the word type Wi . n_T^{-i} is the number of word-types with tag T , N^{-i} is the number of word-types excluding word-type Wi , $n_{\sigma_0}^{-i}$ is the number of stems σ_0 in the stem lexicon L_0 , N_0^{-i} is the total number of stems, $n_{\sigma_-|T}^{-i}$ is the number of prefixes σ_- associated

with word-types tagged with tag T , $N_{-|T}^{-i}$ is the number of prefixes in all word-types that has tag T . The notions for suffixes are analogous to the notions for prefixes.

The final sampling equation is then given as:

$$\frac{\gamma_{|S|}(1 - \gamma_{|S|})^{|S|}}{\sum_{j=0}^m \gamma_{|S|}(1 - \gamma_{|S|})^j} \times \frac{n_T^{-i} + \alpha_T}{N^{-i} + \alpha_T K} \times \frac{n_{\sigma_0}^{-i} + \alpha_0}{N_0^{-i} + \alpha_0 |L_0|} \times \frac{n_{\sigma_{-|T}}^{-i} + \alpha_{-}}{N_{-|T}^{-i} + \alpha_{-} |L_{-}|} \times \frac{n_{\sigma_{+|T}}^{-i} + \alpha_{+}}{N_{+|T}^{-i} + \alpha_{+} |L_{+}|} \quad (4.10)$$

4.1.2.3 Token-POS model

Token-POS model plays a role as an unsupervised POS type-based tagger. The model generates tokens \mathbf{w} and their POS tags \mathbf{t} with probability:

$$P(\mathbf{w}, \mathbf{t} | \mathbf{W}, \mathbf{T}, \boldsymbol{\theta}) = \prod_{w_i, t_i} P(t_{i-1} | t_i, \theta_{t|t}) P(w_i | t_i, \theta_{w|t})$$

Transition probabilities and emission probabilities are specified by a collection of categorical parameters $\boldsymbol{\theta} = \{\theta_{(T,k)}\} \cup \{\theta_{(E,k)}\}$, where $\{\theta_{(T,k)}\}$ is the set of K transition distributions, each over K tags and $\{\theta_{(E,k)}\}$ is the set of K emission distributions, each over the set of word-types.

$$\begin{aligned} \theta_{t|t} &\sim \text{Dirichlet}(\alpha_{t|t}, \{1, \dots, K\}) \\ \theta_{w|t} &\sim \text{Dirichlet}(\alpha_{w|t}, \mathbf{W}_t) \end{aligned}$$

where \mathbf{W}_t is the set of word-types that are generated by tag t .

Using the formula for a general type-based sampler in [Liang *et al.* \(2010\)](#), the sampling equation for this model is given by

$$\frac{\alpha_{w|t}^{(m^i)}}{(M_t^{-i} + \alpha_{w|t} | \mathbf{W}_t |)^{(m^i)}} \times \prod_{t=1}^K \prod_{t'=1}^K \frac{(m_{t'|t}^{-i} + \alpha_{t|t})^{(m_{t'|t}^i)}}{(M_t^{-i} + \alpha_{t|t})^{(m_{t'|t}^i)}} \quad (4.11)$$

where $\alpha^{(m)} = \alpha(\alpha+1)\dots(\alpha+m-1)$ is the ascending factorial. M_t^{-i} is the number of tokens having tag t , m^i is the number of token w_i , and $m_{t'|t}^i$ is the number of tokens t -to- t' transitions. Note that all the counts for tokens that belong to word-type W_i are excluded.

The first term is the emission probability and the second term is the transition probability with parameters $\boldsymbol{\theta}$ marginalized out.

4.1.2.4 Token-Seg model

Although [Lee et al. \(2011\)](#) demonstrated that Token-Seg model improved greatly the performance of the unsupervised morphological segmentation system for Arabic, the model is only suitable for certain language family. It is designed to capture the morpho-syntactic agreement between adjacent tokens which is often realized by matching the last suffixes. Let \mathbf{s} denote a sequence of segmentations, and let s_i be the segmentation of i^{th} word in the data. The probability of drawing \mathbf{s} is given by

$$P_{\text{seg}}(\mathbf{s}|\mathbf{W}, \mathbf{S}, \mathbf{T}, \mathbf{L}, \boldsymbol{\beta}, \boldsymbol{\alpha}) = \prod_{(s_{i-1}, s_i)} p(s_i|s_{i-1}) \quad (4.12)$$

The model is designed in such a way that it encourages adjacent tokens exhibiting morpho-syntactic agreement by having the same final suffix while it penalizes the case when adjacent tokens have the same ending but different final suffixes. To achieve this goal, the model first computes n , the length of the longest final suffix in pair of segmentations (s_{i-1}, s_i) , and sets the last n characters of each word as its *ending*. A simple matching method then serves as a proxy for morpho-syntactic agreement between the two words. Finally, the model defines a probability distribution over pair $(s_i|s_{i-1})$

$$p(s_i|s_{i-1}) = \begin{cases} \beta_1, & \text{if same endings and same final suffix} \\ \beta_2, & \text{if same endings but different final suffixes} \\ \beta_3 & \text{otherwise} \end{cases}$$

where $\beta_1 + \beta_2 + \beta_3 = 1$ and $\beta_1 > \beta_3 > \beta_2$.

The sampling equation for word-type Wi has the form:

$$\beta_1^{m_{\beta_1}^i} \beta_2^{m_{\beta_2}^i} \beta_3^{m_{\beta_3}^i} \quad (4.13)$$

in which, $m_{\beta_1}^i$ is the number of transitions where word-type Wi occurs such that Wi and its neighbor have the same final suffix. $m_{\beta_2}^i$ and $m_{\beta_3}^i$ are read analogously.

4.1.3 Training procedure

The model is trained stage by stage, the next stage adds a new submodel and uses the previous stage for initialization.

4.2 Experimental Setup

4.2.1 Performance metrics

In order to compare with other works, I evaluate the segmentation results using the evaluation scheme in Morpho Challenge (MC for short), and the EMMA method. The scripts for evaluating are obtained at <http://research.ics.aalto.fi/events/morphochallenge/>.

4.2.2 Data

I evaluate the model on 4 languages: English, Turkish, Tamil, and Telugu. I collect word lists¹ and gold standard segmentations for English and Turkish from the series of the Morpho Challenge². For each word list, I randomly select 70,000 word types as training data.

For Tamil and Telugu, I use the same data as [Ramasamy *et al.* \(2012\)](#). They randomly selected articles from monolingual section of Tamil and Telugu in EMILLE corpus [Xiao *et al.* \(2004\)](#) and transliterated the them into the Latin script. For each language, they created a word list from real sentences in EMILLE corpus and manually annotated every word in the list to obtain gold standard segmentations.

Table 4.1: Gold standard segmentations statistics

| Language | #word-types | #morphemes | #unique morphemes |
|----------|-------------|------------|-------------------|
| English | 2,545 | 5,884 | 2,191 |
| Turkish | 2,867 | 20,227 | 1,760 |
| Tamil | 1,080 | 2,641 | 848 |
| Telugu | 997 | 1,732 | 1,266 |

4.2.3 Software

I implemented the model³ described above in Julia⁴. I also obtained implementations of various systems participated in Morpho Challenge for the comparison,

¹Because the model is fully unsupervised, I only take the word lists which contain words and their frequency as my inputs.

²<http://research.ics.aalto.fi/events/morphochallenge/>

³<https://github.com/ketramm/morpho-segmentation>

⁴<http://julia.org/>

including Morfessor Categories-MAP, Morfessor Baseline¹ and MORSEL². These systems were ranked among the best systems in Morpho Challenge.

4.2.4 Submodels and parameters setting

As mentioned in the previous section, Token-Seg model was designed for Arabic, the language that morpho-syntactic agreement can be realized using matching suffixes. This observation has not been seen in 4 languages to be evaluated, so I exclude Token-Seg model.

In my preliminary experiments, adding Token-POS model does not improve F1-score. Lee *et al.* (2011) also reported similar result in their experiment for Arabic using paired t-test. Thus, I only use lexicon model and segmentation model.

In all the experiments, I set $\gamma_l = \frac{1}{1.1}$ (for the length of morphemes), $\gamma_{|s|} = \frac{1}{2}$ (for the number of morphemes of each word), $\gamma_- = \gamma_+ = \frac{1}{1.1}$ (for the size of the prefix and the suffix lexicons) to favor small sets of affixes, and $\gamma_0 = \frac{1}{10,000}$ (for the size of the stem lexicon). To prefer sparse distributions in segmentation model, I set concentration parameters $\alpha_T = \alpha_- = \alpha_+ = \alpha_0 = 0.1$. Number of POS tags is set to 5.

4.2.5 Baselines

I run experiments with Morfessor Cat-MAP, Morfessor Baseline, and MORSEL on the same dataset for each language and use the results as the baselines.

4.2.6 Unrealistic setting

The “unrealistic experiments” is set up to evaluate the robustness of the model. Under this setting, I train the model on gold standard datasets (only word types in gold standard, the model does not access segmentation information). The training data in this case is much smaller. Because the computation is cheaper for small training data, I will apply maximum marginal decoding (MM) technique by drawing 15 independent Gibbs samplers.

4.3 Results

Table 4.2 and table 4.3 show the results of evaluation using MC method and EMMA method respectively.

¹<http://www.cis.hut.fi/projects/morpho/>

²<https://github.com/ConstantineLignos/MORSEL>

Table 4.2: Results of evaluation with MC method

| Language | Model | Precision | Recall | F1 |
|----------|--------------------|-----------|--------|---------------|
| English | MORSEL | 57.64% | 53.43% | 55.45% |
| | Morfessor Baseline | 55.10% | 57.94% | 56.48% |
| | Morfessor-CatMAP | 31.88% | 33.26% | 32.55% |
| | Lexicon | 60.36% | 38.26% | 46.83% |
| | +Segmentation | 59.54% | 43.74% | 50.43% |
| Turkish | MORSEL | 72.95% | 17.72% | 28.51% |
| | Morfessor Baseline | 80.25% | 16.32% | 27.12% |
| | Morfessor-CatMAP | 76.31% | 24.66% | 37.27% |
| | Lexicon | 70.84% | 18.74% | 29.64% |
| | +Segmentation | 72.31% | 18.40% | 29.34 |
| Tamil | MORSEL | 54.14% | 18.52% | 27.60% |
| | Morfessor Baseline | 60.43% | 31.74% | 41.62% |
| | Morfessor-CatMAP | 51.15% | 45.43% | 48.12% |
| | Lexicon | 69.51% | 22.56% | 34.07% |
| | +Segmentation | 67.87% | 23.68% | 35.11% |
| Telugu | MORSEL | 36.31% | 2.58% | 4.81% |
| | Morfessor Baseline | 24.89% | 54.32% | 34.14% |
| | Morfessor-CatMAP | 13.66% | 53.96% | 21.80% |
| | Lexicon | 28.36% | 30.16% | 29.23% |
| | +Segmentation | 29.49% | 34.29% | 31.71% |

Table 4.3: Results of evaluation with EMMA method

| Language | Model | Precision | Recall | F1 |
|----------|--------------------|-----------|--------|---------------|
| English | MORSEL | 84.15% | 72.72% | 78.02% |
| | Morfessor Baseline | 79.91% | 78.56% | 79.23% |
| | Morfessor-CatMAP | 85.52% | 69.09% | 76.27% |
| | Lexicon | 84.08% | 72.11% | 77.64% |
| | +Segmentation | 83.75% | 73.26% | 78.15% |
| Turkish | MORSEL | 85.98% | 29.60% | 44.04% |
| | Morfessor Baseline | 87.30% | 30.31% | 45.00% |
| | Morfessor-CatMAP | 84.90% | 35.67% | 50.24% |
| | Lexicon | 82.26% | 33.53% | 47.64% |
| | +Segmentation | 82.43% | 33.90% | 48.04% |
| Tamil | MORSEL | 84.95% | 63.40% | 72.61% |
| | Morfessor Baseline | 85.00% | 67.25% | 75.09% |
| | Morfessor-CatMAP | 80.17% | 73.59% | 76.74% |
| | Lexicon | 92.46% | 63.76% | 75.47% |
| | +Segmentation | 92.60% | 64.35% | 75.93% |
| Telugu | MORSEL | 98.14% | 80.79% | 88.62% |
| | Morfessor Baseline | 70.89% | 92.47% | 80.25% |
| | Morfessor-CatMAP | 56.30% | 93.23% | 70.20% |
| | Lexicon | 78.13% | 88.70% | 83.08% |
| | +Segmentation | 77.87% | 88.44% | 82.82% |

The F1 score evaluated with EMMA method for Telugu gives highest value for MORSEL system while MC method gives lowest value. Why does the contradiction appear? Table 4.4 shows that in gold standard datasets, the number of unique morphemes is often smaller than the number of word types for all the languages except for Telugu. It implies that not many morphemes in Telugu gold standard dataset have been reused.

Table 4.4: Segmentations statistics of gold standard datasets

| Language | Model | #types | #morph | #unique morph |
|----------|--------------------|--------|--------|---------------|
| English | MORSEL | 2,545 | 5,620 | 2,103 |
| | Morfessor Baseline | 2,545 | 5,994 | 2,118 |
| | Morfessor-CAT | 2,545 | 5,680 | 2,593 |
| | Lexicon | 2,545 | 4,029 | 2,263 |
| | +Segmentation | 2,545 | 4,153 | 2,256 |
| | Gold standard | 2,545 | 5,884 | 2,191 |
| Turkish | MORSEL | 2,867 | 6,587 | 2,556 |
| | Morfessor Baseline | 2,867 | 7,017 | 2,324 |
| | Morfessor-CAT | 2,867 | 8,124 | 2,366 |
| | Lexicon | 2,867 | 7,802 | 2,458 |
| | +Segmentation | 2,867 | 7,913 | 2,418 |
| | Gold standard | 2,867 | 20,227 | 1,760 |
| Tamil | MORSEL | 1,080 | 1,840 | 989 |
| | Morfessor Baseline | 1,080 | 2,182 | 1,043 |
| | Morfessor-CAT | 1,080 | 2,615 | 924 |
| | Lexicon | 1,080 | 1,707 | 969 |
| | +Segmentation | 1,080 | 1,735 | 971 |
| | Gold standard | 1,080 | 2,641 | 848 |
| Telugu | MORSEL | 997 | 1,108 | 1,033 |
| | Morfessor Baseline | 997 | 2,390 | 1,268 |
| | Morfessor-CAT | 997 | 3,086 | 1,186 |
| | Lexicon | 997 | 2,084 | 1,315 |
| | +Segmentation | 997 | 2,080 | 1,309 |
| | Gold standard | 997 | 1,732 | 1,266 |

4.3.1 Unrealistic setting

Table 4.5 shows the results of the experiments under unrealistic setting. MORSEL performs worst¹ when it is trained on small dataset since there are not many minimal word-pairs that could be found in the training data. Lexicon model and + Segmentation model give higher F1 scores for English and Tamil. Size of training data could affect the performance of the system. Training on large data, the system might induce spurious affixes.

MM technique helps improving F1 scores in general.

¹This is because of MORSEL does not segment words in gold standard while every word in standard have approximaly 3 morphemes (Telugu) and each word can have more than one analysis (Turkish). This make the MC scheme is not usable.

Table 4.5: Results of evaluation with MC method in unrealistic setting. Precision, Recall and F1 are reported as the mean scores of 15 independent Gibbs samples. The sample standard deviations are shown in brackets. Lexicon MM and +Segmentation MM are the results after applying maximum marginal decoding technique. ∞ means that it is not possible to evaluate using MC scripts.

| Language | Model | Precision | Recall | F1 |
|----------|--------------------|-----------|----------|---------------|
| English | MORSEL | 100.00% | 2.25% | 4.40% |
| | Morfessor Baseline | 65.81% | 48.32% | 55.73% |
| | Morfessor-CatMAP | 71.93% | 46.58% | 56.55% |
| | Lexicon | 61.46% | 53.92% | 57.40% (1.1) |
| | +Segmentation | 60.51% | 54.73% | 57.43% (1.2) |
| | Lexicon MM | 60.47% | 55.40% | 57.82% |
| | +Segmentation MM | 62.15% | 55.98% | 58.90% |
| Turkish | MORSEL | ∞ | ∞ | ∞ |
| | Morfessor Baseline | 77.29% | 18.32% | 29.61% |
| | Morfessor-CatMAP | 82.63% | 18.12% | 29.72% |
| | Lexicon | 80.83% | 16.85% | 27.88% (0.6) |
| | +Segmentation | 81.03% | 17.45% | 28.71% (0.9) |
| | Lexicon MM | 86.09% | 16.16% | 27.22% |
| | +Segmentation MM | 86.48% | 17.14% | 28.61% |
| Tamil | MORSEL | 81.82% | 1.17% | 2.31% |
| | Morfessor Baseline | 52.54% | 38.37% | 44.35% |
| | Morfessor-CatMAP | 53.55% | 37.65% | 44.21% |
| | Lexicon | 53.43% | 34.56% | 41.95% (1.3) |
| | +Segmentation | 52.76% | 34.33% | 41.57% (0.9) |
| | Lexicon MM | 57.74% | 33.63% | 42.51% |
| | +Segmentation MM | 57.98% | 32.67% | 41.80% |
| Telugu | MORSEL | ∞ | ∞ | ∞ |
| | Morfessor Baseline | 38.72% | 37.06% | 37.87% |
| | Morfessor-CatMAP | 42.29% | 37.06% | 39.50% |
| | Lexicon | 17.59% | 52.15% | 26.23% (1.5) |
| | +Segmentation | 18.01% | 55.60% | 27.15% (1.8) |
| | Lexicon MM | 15.96% | 57.58% | 24.99% |
| | +Segmentation MM | 17.06% | 56.88% | 26.24% |

Table 4.6: Evaluation using EMMA method

| Language | Model | Precision | Recall | F1 |
|----------|--------------------|-----------|--------|---------------|
| English | MORSEL | 99.94% | 46.07% | 63.07% |
| | Morfessor Baseline | 81.92% | 70.69% | 75.89% |
| | Morfessor-CatMAP | 87.01% | 71.26% | 78.35% |
| | Lexicon | 82.16% | 76.38% | 79.16% (0.38) |
| | +Segmentation | 81.03% | 76.83% | 78.87% (0.28) |
| | Lexicon MM | 84.35% | 77.36% | 80.70% |
| | +Segmentation MM | 83.00% | 78.00% | 80.32% |
| Turkish | MORSEL | 100% | 16.67% | 28.59% |
| | Morfessor Baseline | 82.58% | 31.54% | 45.65% |
| | Morfessor-CatMAP | 89.06% | 32.07% | 47.16% |
| | Lexicon | 88.07% | 31.73% | 46.53% (0.43) |
| | +Segmentation | 87.71% | 32.20% | 47.11% (0.43) |
| | Lexicon MM | 90.99% | 31.87% | 47.20% |
| | +Segmentation MM | 90.17% | 32.92% | 48.23% |
| Tamil | MORSEL | 99.54% | 47.27% | 64.10% |
| | Morfessor Baseline | 76.79% | 74.10% | 75.42% |
| | Morfessor-CatMAP | 78.41% | 73.84% | 76.06% |
| | Lexicon | 78.31% | 72.84% | 75.48% (0.37) |
| | +Segmentation | 77.30% | 72.86% | 75.01% (0.38) |
| | Lexicon MM | 79.93% | 72.95% | 76.28% |
| | +Segmentation MM | 78.99% | 72.73% | 75.73% |
| Telugu | MORSEL | 100% | 78.86% | 88.18% |
| | Morfessor Baseline | 89.79% | 88.90% | 89.34% |
| | Morfessor-CatMAP | 91.01% | 88.76% | 89.91% |
| | Lexicon | 62.55% | 91.95% | 74.45% (0.74) |
| | +Segmentation | 60.81% | 92.28% | 73.31% (0.49) |
| | Lexicon MM | 64.37% | 92.56% | 75.93% |
| | +Segmentation MM | 62.26% | 92.87% | 74.55% |

Chapter 5

Word Representation improves Unsupervised Morphological Segmentation

Traditional NLP approaches have relied on set of human-designed features extracted from training data. The choice of features is often based on linguistic intuition and empirical experiment depending on a specific task. Recently, researchers have taken a new approach which attempts to automatically learn good features from input data. This approach is referred as *representation learning* or *feature learning*. It has been shown that these learned features greatly improve the performance of existing NLP systems [Socher *et al.* \(2011a,b, 2012\)](#); [Turian *et al.* \(2010\)](#) while reducing numerous effort for task-specific engineering features [Collobert & Weston \(2008\)](#); [Collobert *et al.* \(2011\)](#).

Inspired by previous successful approaches which yield substantial gains in performance across a wide range of NLP tasks by training existing supervised [Turian *et al.* \(2010\)](#) or semi-supervised [Koo *et al.* \(2008\)](#) NLP systems using unsupervised word representations as extra word features, I propose a simple generative model for *unsupervised* morphological segmentation that could make use of word representations. The research question here is: Do word representations help in unsupervised context?

5.1 Distributed representations

There are several approaches to represent words in a more useful and meaningful way. Word representations induced by those approaches, however, can be classified into three main categories: distributional representations [Blei *et al.* \(2003\)](#); [Dumais *et al.* \(1988\)](#); [Hofmann \(1999\)](#); [Landauer *et al.* \(1998\)](#), cluster-

based representations, and distributed representations. Since previous research has successfully applied distributed representations for a variety of NLP tasks, I will focus on *distributed representations*.

Distributed word representations are typically induced by using neural language models. The language models learn to map words into real-valued feature vectors, which are dense and low dimensional. Words transformed into feature vectors are called word *embeddings*. Each dimension of the embedding represents a latent feature of the word. In the following, I briefly summarize the language model presented in Collobert & Weston (2008) using the notations in Turian *et al.* (2010).

Each word w_i in a finite dictionary \mathcal{D} is embedded into a d dimensional space using a lookup table e :

The model reads input sentence $x = (x_1, \dots, x_n)$ and transforms it into a series of vectors $e(w_1) \oplus \dots \oplus e(w_n)$ by using the lookup table e , here \oplus denotes concatenation operator. The next step is to generate a negative example by corrupting the last word w_n . This sprit is similar to contrastive estimation proposed by Smith & Eisner (2005). The language model should learn to assign high score for true example and low score to negative example. Let $\tilde{x} = (x_1, \dots, \tilde{w}_n)$ denote the negative example, where \tilde{w}_n is randomly selected from the dictionary \mathcal{D} . For convenience, denote $e(x) = e(w_1) \oplus \dots \oplus e(w_n)$. Passing $e(x)$ through a single hidden layer neural network, the model returns a score $s(x)$. The loss function needed to be minimized is $L(x) = \max(0, 1 - s(x) + s(\tilde{x}))$. The distributed representation is learnt as a result of doing gradient descent simultaneously over the neural network parameters and the embedding lookup table.

5.2 The Model

A distributed representation could capture many features for a word such as syntactic features (such as its distribution over POS tags), semantic features (is it the name of a job? etc), morphological features (which affix it could have?), and so forth Bengio (2009). For unsupervised morphological segmentation task, I employ morphological features captured in distributed word representation.

In the embedding space, words with similar affixes are closer together (Figure 5.1). Therefore, I group words into clusters and force words in the same cluster to select similar affixes.

The model contains three sub-models: Lexicon model, Segmentation model, and Cluster-Segmentation model. The Lexicon model and the Segmentation model are reused from chapter 4. The Cluster-Segmentation model is designed in a similar spirit to the Token-Seg model in the previous chapter.

Let $\mathcal{C} = C_1, \dots, C_M$ denote the set of word clusters. Each word type W_i either

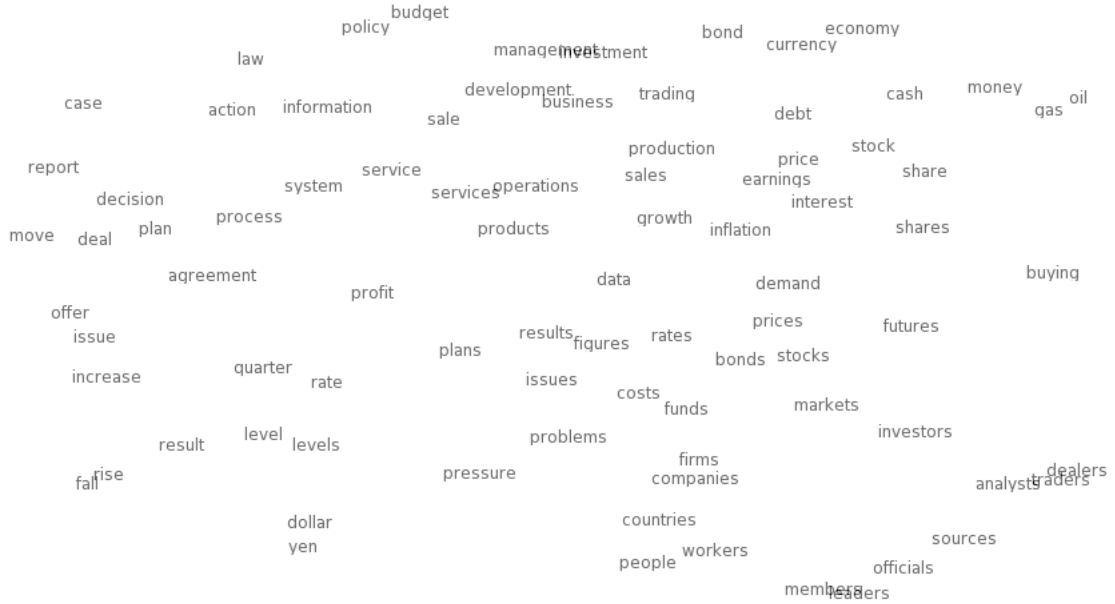


Figure 5.1: A visualization of word embeddings

belongs to a cluster $C_j \in \mathcal{C}$, or it belongs to none. I will explain where the clusters come from shortly.

Based on the linguistic intuition that the final suffix is often the strongest indicator for the syntactic category of the word, I place a Categorical distribution on the final suffixes of all the words in each cluster. Let L_{C-} denote the set of the final suffixes for cluster C . The final suffix σ_-^i (if a word does not have any suffix, its final suffix is NONE) of a word type $W_i \in C$ is generated from Categorical distribution:

$$\sigma_-^i \sim \text{Cat}(\Theta_C) \tag{5.1}$$

where Θ_C is drawn from Dirichlet prior.

$$\Theta_C \sim \text{Dirichlet}(\alpha_C, L_{C-}) \tag{5.2}$$

and the hyperparameter α_C of the Dirichlet prior is chosen to be less than 1 to encourage sparsity.

Table 5.1 gives an example of words and clusters. Words in the same cluster not only tend to have similar syntactic categories but also share similar semantic categories.

Table 5.1: Sample words and clusters extracted from data

| Cluster sample | | | | |
|-----------------|-----------------|---------------|---------------|-----------------|
| 654 | 716 | 984 | 273 | 1018 |
| impressionistic | portraitist | interfering | slovak | melody |
| minimalistic | parliamentarian | questioning | slovakian | playback |
| improvised | polemicist | reconciling | slovenian | sounds |
| idiosyncratic | propagandist | sympathizing | slovene | stereo |
| innovative | revivalist | tinkering | valencian | sync |
| inventive | satanist | collaborating | macedonian | tempo |
| multifaceted | supporter | brainwashing | luxembourgish | voice |
| naturalistic | thinker | clashing | pomeranian | tone |
| ephemeral | woodcarver | adventuring | portuguese | reverb |
| distinctive | chronicler | deliberating | serbian | swing |
| anachronistic | centenarian | interfering | czechoslovak | drum |
| colourful | grammarian | conspiring | croatian | crescendo |
| idealised | theologian | assisting | corsican | instrumentation |
| idealized | bostonian | allying | bulgarian | acoustic |
| illustrative | landowner | eavesdropping | bosnian | distortion |
| imaginative | nobleman | enlisting | belarusian | ambient |
| incisive | frenchman | pleading | kyrgyz | arrangement |

Where do the word clusters come from? Having word embeddings in N dimensional space of real numbers, one can use a clustering algorithm such as K-mean to obtain word clusters.

Because NONE is counted as the final suffix, it might be the case that there are many NONES in a cluster (for example, cluster 1018 showed in Table 5.1.) In this case, the word “sounds” in cluster 1018 might not be segmented because the probability to generate NONE is much higher than the probability to generate \mathbf{s} as the final suffix within cluster 1018.

As a treatment for this problem, I define a probability distribution $p(s_i|C)$ over the segmentation s_i given its cluster C as follows:

$$p(s_i|C) = \begin{cases} \beta_1, & \text{if the final suffix is NONE} \\ \beta_2, & \text{if the final suffix is unique in } C \\ \beta_3 & \text{otherwise} \end{cases}$$

where $\beta_1 + \beta_2 + \beta_3 = 1$ and $\beta_1 \leq \beta_2 < \beta_3$.

By setting the highest value to β_3 , I encourage the words within the same cluster to exhibit the syntactic and semantic agreements.

5.2.1 Sampling equation

The sampling equation for Cluster-Segmentation model is

$$P(\sigma_-|C, \alpha_C, \boldsymbol{\beta}) = \frac{n_{\sigma_-|C}^{-i} + \alpha_C}{N_C^{-i} + \alpha_C |L_{C_-}^{-i}|} \times \beta_1^{I_1(C)} \beta_2^{I_2(C)} \beta_3^{I_3(C)} \quad (5.3)$$

here N_C^{-i} is the size of cluster C , $n_{\sigma_-|C}^{-i}$ is the number of the final suffix σ_- found in C , $L_{C_-}^{-i}$ is the set of final suffixes (excluding the counts contributed by word type $Wi.$). $I_j(C)$, $j \in \{1, 2, 3\}$ is the indicator functions (i.e if the final suffix = NONE) whose values $\in \{0, 1\}$.

5.3 Experimental Setup

5.3.1 Data

I use the same English word list as in chapter 4, I obtain word embeddings from Socher *et al.* (2011b). They pre-trained word embeddings using Collobert-Weston neural language model Collobert & Weston (2008).

To obtain word clusters, I use K-mean clustering algorithm with number of clusters $K = 1500$.

5.3.2 Parameters setting

I set hyperparameter $\alpha_C = 0.1$ for all clusters, $\beta_1 = 0.2$, $\beta_2 = 0.2$ and $\beta_3 = 0.6$. Rest of the parameters are set the same values as in chapter 4.

5.4 Result

Table 5.2 shows that adding Cluster model improved F1 score by 4.56%. Running the bootstrap for 10^5 iterations, the *confidence* (1-p-value) is equal to 1 in both paired tests for (Lexicon, +Segmentation) and (+Segmentation, +Cluster).

Table 5.2: Evaluation using MC method

| Model | Precision | Recall | F1 |
|---------------|-----------|--------|---------------|
| Lexicon | 60.36% | 38.26% | 46.83% |
| +Segmentation | 59.54% | 43.74% | 50.43% |
| +Cluster | 61.94% | 49.44% | 54.99% |

Table 5.3: Evaluation using EMMA method

| Model | Precision | Recall | F1 |
|---------------|-----------|--------|---------------|
| Lexicon | 84.08% | 72.11% | 77.64% |
| +Segmentation | 83.75% | 73.26% | 78.15% |
| +Cluster | 84.18% | 75.13% | 79.40% |

5.5 Discussion

I have shown that using word representations as extra features could improve the unsupervised system. However, there are some limitations in this work. Firstly, the experiment is only for English, we need to evaluate the model on more languages to see if the model behaves the same. Secondly, the quality of the clusters might affect the performance of the model. One drawback of K-mean is that number of clusters is required to specify beforehand. It would be better if we let the data decides the number of clusters by itself. For example, we can use Distance Dependent Chinese Restaurant Process [Blei & Frazier \(2009\)](#) for clustering instead of K-mean.

Chapter 6

Conclusions

In this thesis, I have evaluated various unsupervised morphological segmentation systems for 4 languages: English, Turkish, Tamil, and Telugu. I also have shown that maximum marginal decoding could help reducing variance and noise in the output of Gibbs samples.

In chapter 5, I have presented the generative model that uses word representation as extra features. The model improved dramatically F1 score for English.

6.1 Limitations

In chapter 5, I have not used maximum marginal decoding technique¹. It would be interesting to see by how large the MM technique could improve F1 score. Also, the generative model in chapter 5 needs to be tested on other languages.

6.2 Future Work

The relationship between size of training data and the performance of unsupervised systems is interesting as well. In which case the performance of the system is better: training on a small selective dataset or training on a massive dataset? If it is the former case, how to select such a dataset?

¹Due to the lack of computational resources.

Training data examples

| English | Turkish | Tamil | Telugu |
|--------------|----------------|--------------------|-----------------------|
| inital | elimizi | mwepiya,j | dhOraNilO |
| panics | trm | awTarangkaTTil | prOgraaMnibaTTi |
| namesakes | ulu | munmozivOm | bhootaM |
| familia | fermuarII | kAraNaTTaikkURi | moduLLaku |
| unnaturally | filozof | wTETiyum | maarataaDaemOyidi |
| downfall | edilmelerini | variyai | naakishTaMlaeka |
| newsgroup | baktI | alangkarikkappattu | akkaraku |
| co-ordinated | klasOre | viLakkukaL | aadaarina |
| christabel | yapIlmamalIdIr | layancu | areaati |
| goodwin | SUkran | ezuwTaTum | nirasanapatraM |
| paducah | pars | cattamanRaTTai | vidyudutpatti |
| upstream | gOrUSmelerinin | katciTTalaivarkaL | shel |
| castrated | CIkacaGInI | TIvira | aalOchiMchukOTaanikee |
| nisar | Cikmak | pArAkotu | aedaitae |

Gold standard and model's output examples

Table 1: English

| Word type | Gold standard segmentations | Proposed segmentations |
|---------------|-----------------------------|------------------------|
| stabilized | stable_A ize_s +PAST | stabiliz + ed |
| drumheads | drum_N head_N +PL | drumheads |
| resonant | resonate_V ant_s | resonant |
| punishment | punish_V ment_s | punish + ment |
| dragged | drag_V +PAST | dragg + ed |
| abounded | abound_V +PAST | abound + ed |
| commissioning | commit_V ion_s +PCP1 | commission + ing |
| trying | try_V +PCP1, trying_V | trying |
| cabal | cabal_N | cabal |
| pensionable | pension_N off_B able_s | pension + able |
| the | the_B, the_D | the |
| corroborated | corroborate_V +PAST | corroborat + ed |
| suffuse | suffuse_V | suffuse |
| pottages | pot_N age_s +PL | pottages |
| townsman | town_N s_s man_N | townsm + an |
| sip | sip_V | sip |
| ford | ford_N | ford |
| golf-club | golf_N club_N | golf-club |
| ancestors | ancestor_N +PL | ancestor + s |
| tripartite | tri_p part_N ite_s | tripartite |

| Word type | Gold standard segmentations | Proposed segmentations |
|----------------|--|---------------------------|
| mankenlerden | manken +PL +ABL | manken + ler + den |
| sabotaj | sabotaj | sab + ot + aj |
| kazandırlılar | kazan +CAU_dir +TNS_ir +PER3P | kazan + dIr + Ir + la + r |
| gUClendirirken | gUC +la_DER_RFL +CAU_dir +TNS_ir iken_e | gUClendirir + ken |
| sOnme | sOn +NEG_ma, sOn +NOUN_ma | sOnme |
| parolaları | parola +PL +ACC, parola +PL +POS3, parola +POS3S | parola + lar + I |
| yUrUyUSleri | yUrU yis +PL +ACC, yUrU yis +PL +POS3, yUrU yis +POS3S | yUrUyUS + ler + i |
| dinlemek | din +la_DER mak, dinle mak | dinle + mek |
| personelimiz | personel +POS1P, personel +POS1S +PER1P | personelimiz |
| biriktiGi | birik +ADJ_dig +ACC, birik +ADJ_dig +POS3 | bir + ik + ti + Gi |
| literatUr | literatUr | literatUr |
| bilmeksizin | bil maksizin | bilmek + siz + in |
| atlayayIm | at +la_DER +OPT +PER1S, atla +OPT +PER1S | atla + ya + yI + m |
| savurganlIGI | savurgan +DER_IHg +ACC, savurgan +DER_IHg +POS3 | savurg + an + IIGI |
| aptallIk | aptal +DER_IHk | aptal + I + k |
| hayata | hayat +DAT | hayat + a |
| haC'larIn | haC +PL +GEN, haC +PL +POS2S | haC + la + rI + n |

Table 2: Turkish

Table 3: Tamil

| Word type | Gold standard segmentations | Proposed segmentations |
|--------------|-----------------------------|------------------------|
| ewTa | ewTa | ewTa |
| ikkuzu | ik + kuzu | ikkuzu |
| mAwila | mAwila | mAwila |
| mUlam | mUlam | mUlam |
| iru | iru | iru |
| anniya | anniya | anniya |
| vazakkamAka | vazakkam + Aka | vazakkam + Aka |
| uriTTAkka | uriTTAkk + a | uriTTAkka |
| ceyalpatAmal | ceyalpat + Amal | ceyalpat + Amal |
| muzuvaTilum | muzuvaT + il + um | muzuva + Til + um |
| pOnapiRaku | pOna + piRaku | pOnapiRaku |
| puriwTukoLLa | puri + wT + u + koLL + a | puriwTu + koLLa |
| paTivu | paTivu | paTivu |
| kanavai | kanav + ai | kanav + ai |
| aRiyamutiyum | aRi + y + a + muti + y + um | aRiyamutiyum |
| irukka | iru + kk + a | irukka |
| pOStarkaL | pOStar + kaL | pOStar + kaL |
| kAlaTTin | kAla + TT + in | kAlaTT + in |
| waTikaLil | waTi + kaL + il | waTikaL + il |

Table 4: Telugu

| Word type | Gold standard segmentations | Proposed segmentations |
|-------------------|-----------------------------|-------------------------|
| cheema | cheema | cheema |
| yika | yika | yika |
| chaetinuMDi | chaeti + nuMDi | chaeti + nuMDi |
| udyOgi | udyOgi | udyOg + i |
| tiyyani | tiyyani | tiyya + ni |
| railumeeda | railu + meeda | railu + meed + a |
| maaTlaaDadalistae | maaTlaaDa + dalistae | maaTlaaD + adali + stae |
| vechchagaa | vechcha + gaa | vechcha + gaa |
| graama | graama | graama |
| nuMchee | nuMchee | nuMchee |
| paTTamu | paTTamu | paTT + amu |
| koorchuni | koorchuni | koorchu + ni |
| yennaaLlani | yennaaLl + ani | yennaaL + lani |
| koddinimushaalIO | koddi + nimushaal + IO | koddini + mushaa + lIO |
| saMghamunaku | saMghamu + na + ku | saMgha + mu + naku |
| bayaTivaaLlatO | bayaTi + vaaLla + tO | bayaTi + vaaL + latO |
| taedeela | taedee + la | taedeel + a |
| choosi | choosi | choosi |
| kOrika | kOrika | kOrika |
| dooramunuMchi | dooramu + nuMchi | dooramu + nuMchi |

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